

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information

1. Enter the Fiscal Year that this report covers.	1. 2011
2. Identify the Department, Independent agency or Establishment that is covered by this report.	2. Department of Homeland Security
3. Enter the name, title, address, phone, fax, and e-mail address of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. (b)(6) Chief Security Officer, Office of Security (b)(6) home 301 7th Street S.W., Suite 5903-35 (b)(6) Washington D.C. 20528 (b)(6)
4. Enter the name, title, phone, fax, and e-mail address for the point-of-contact responsible for answering questions about this report.	4. (b)(6) Chief, Administrative Security Division (b)(6) Office 301 7th Street S.W., Suite 5076 (b)(6) Fax Washington, D.C. 20528 (b)(6)

PART B: Officials with Original Classification Authority

5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	5. 19
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	6. 6
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	7. 0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8. 25

PART C: Original Classification Decisions

Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories found in section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)

9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	9. 2
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10. 18
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 and 10)	11. 20
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	12. 26
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13. 22
14. Total number of SECRET original classification decisions. (Sum of blocks 12 and 13)	14. 48
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.	15. 1
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16. 0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 and 16)	17. 1
18. Total number of original classification decisions. (Sum of blocks 11, 14, and 17)	18. 69

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)

19. Enter the number of derivative TOP SECRET classification decisions during the reporting period.	19. 18924
20. Enter the number of derivative SECRET classification decisions during the reporting period.	20. 61095
21. Enter the number of derivative CONFIDENTIAL classification decisions during the reporting period.	21. 14608
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	22. 94627

Fiscal Year

2011

Department or Agency

Department of Homeland Security

PART E: Mandatory Declassification Review Requests and Appeals

A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request. Report only requests for your agency in which your agency is responsible for the final decision. Please provide an explanation in Part J below where the number of requests or appeals carried forward between reporting period changes.

23. Enter the number of requests carried over from the previous reporting period. (Block 25 on last year's report)	23.	5
24. Enter the number of new requests received during the reporting period.	24.	71
25. Enter the number of requests carried over to the next reporting period.	25.	16
26. Enter the number of appeals carried over from the previous reporting period. (Block 28 on last year's report)	26.	0
27. Enter the number of new appeals received during the reporting period.	27.	0
28. Enter the number of appeals carried over to the next reporting period.	28.	0

PART F: Mandatory Declassification Review Decisions in Pages

29. Enter the number of requested pages that were declassified in full.	29.	172
30. Enter the number of requested pages that were declassified in part.	30.	31
31. Enter the number of requested pages that were denied declassification	31.	61
32. Total number of requested pages acted on. (Sum of blocks 29, 30, and 31)	32.	264
33. Enter the number of appealed pages that were declassified in full.	33.	0
34. Enter the number of appealed pages that were declassified in part.	34.	0
35. Enter the number of appealed pages that were denied declassification	35.	0
36. Total number of appealed pages acted on. (Sum of blocks 33, 34, and 35)	36.	0

PART G: Automatic, Systematic, and Discretionary Declassification Reviews

37. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	37.	23106
38. Enter the number of pages declassified under section 3.3 of E.O. 13526.	38.	20216
39. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	39.	0
40. Enter the number of pages declassified under section 3.4 of E.O. 13526.	40.	0
41. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	41.	0
42. Enter the number of pages declassified under section 3.1 of E.O. 13526.	42.	112

PART H: Internal Agency Oversight

43. Enter the number of inspections, surveys, or program reviews conducted by your agency, covering any aspect of the security classification program, completed during the reporting period.	43.	1105
44. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (E.O. 13526, section 1.8)	44.	1
45. Enter the number of challenges where the classification status was fully affirmed.	45.	0
46. Enter the number of challenges where the classification status was overturned in whole or in part.	46.	2

PART I: Classification Guides

47. Enter the number of security classification guides created by your agency and currently in use.	47.	45
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Fiscal Year

2011

Department or Agency

Department of Homeland Security

PART J: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report. Additionally, select the sampling process your agency used in developing this year's report.

Sampling Period (check one):2-Week ☐4-Week ☐8-Week ☐One Year ☒**Multiplier Applied (check one):**None ☒Other (Specify) ☐

Part D. (Item 22). A modest increase in overall numbers (12.5% increase). The main area of increase was the number of of TS derivative classification decisions made which increased to over 50 % from the 2010 reporting. The increase is due in large part to personnel more accurately tracking classified emails and products on DHS classified networks.

Part G. (Items 37 & 38). These numbers increased due to a spending more time on declassification.



**Homeland
Security**

NOV 15 2011

(b)(6)

Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington DC 20408

Dear (b)(6)

In response to your memorandum dated August 23, 2011, Subject: "Standard Form 311, Agency Security Classification Management Program Data," enclosed is the subject report for the Department of Homeland Security.

You will note on our submission derivative classification decisions increased by 12.5 percent from Fiscal Year (FY) 2010. Furthermore, the number of reported Top Secret classification decisions increased by over 50 percent. This can be attributed to an increased awareness by derivative classifiers in tracking the creation of classified products on our classified networks, as well as the increase of electronic message traffic sent to and from outside agencies.

Additionally, there was an increase of the number of documents reviewed and declassified in Part G: accounting for a total of over 20,000 pages—an increase from 405 last year. This was due to spending more time on declassification reviews during FY2011.

If you have any questions, please feel free to contact me at (b)(6) or (b)(6), Chief Administrative Security Division at (b)(6)

Sincerely,

(b)(6)

Chief Security Officer

Enclosure

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information	
1. Enter the Fiscal Year that this report covers.	1. 2012
2. Identify the Department, Independent agency, or Establishment that is covered by this report.	2. Department of Homeland Security
3. Enter the name, title, address, phone, fax, and e-mail address of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. (b)(6) Chief Security Officer (b)(6) Office) 301 7th Street S.W., Suite 5903-35 (b)(6) Fax) Washington D.C. 20528 E-mail: (b)(6)
4. Enter the name, title, phone, fax, and e-mail address for the point-of-contact responsible for answering questions about this report.	4. (b)(6) Chief, Administrative Security Division (b)(6) Office) 301 7th Street S.W., Suite 5076 (b)(6) Fax) Washington D.C. 20528 E-mail: (b)(6)
PART B: Officials with Original Classification Authority	
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET.	5. 19
6. Enter the number of agency officials whose highest level of original classification authority is SECRET.	6. 5
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL.	7. 0
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8. 24
PART C: Original Classification Decisions	
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)	
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	9. 0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	10. 34
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10).	11. 34
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less.	12. 1
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	13. 1
14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)	14. 2
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less.	15. 4
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years.	16. 0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)	17. 4
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	18. 40
PART D: Derivative Classification Decisions	
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via e-mail. Do not count reproductions or copies.)	
19. Enter the number of derivative TOP SECRET classification decisions made during the reporting period.	19. 32413
20. Enter the number of derivative SECRET classification decisions made during the reporting period.	20. 104414
21. Enter the number of derivative CONFIDENTIAL classification decisions made during the reporting period.	21. 13041
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22. 149868

PART E: Mandatory Declassification Review Requests and Appeals

A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request.
For blocks 23-30, report only requests and appeals in which your agency is responsible for the final release.

23. Enter the number of requests received during the reporting period.	23.	5
24. Enter the number of requests closed during the reporting period.	24.	15
25. Enter the number of requests that have been unresolved for over one year.	25.	0
26. Enter the average number of days to resolve each request.	26.	44
27. Enter the number of appeals received during the reporting period.	27.	1
28. Enter the number of appeals closed during the reporting period.	28.	0
29. Enter the number of appeals that have been unresolved for over one year.	29.	0
30. Enter the average number of days to resolve each appeal.	30.	45

For blocks 31-32, report the number of requests and appeals that were referred to your agency, and the referring agency is responsible for the final release.

31. Enter the number of referred requests received during the reporting period.	31.	0
32. Enter the number of referred appeals received during the reporting period.	32.	0

PART F: Mandatory Declassification Review Decisions in Pages
Count only those pages documented in blocks 23-30 above.

33. Enter the number of requested pages that were declassified in full.	33.	230
34. Enter the number of requested pages that were declassified in part.	34.	0
35. Enter the number of requested pages that were denied declassification.	35.	856
36. Total number of requested pages acted on. (Sum of blocks 33, 34, & 35)	36.	1086
37. Enter the number of appealed pages that were declassified in full.	37.	0
38. Enter the number of appealed pages that were declassified in part.	38.	0
39. Enter the number of appealed pages that were denied declassification.	39.	8
40. Total number of appealed pages acted on. (Sum of blocks 37, 38, & 39)	40.	8

PART G: Automatic, Systematic, and Discretionary Declassification Reviews

41. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	41.	3277
42. Enter the number of pages declassified under automatic declassification.	42.	2742
43. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	43.	0
44. Enter the number of pages declassified under systematic declassification.	44.	0
45. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	45.	11800
46. Enter the number of pages declassified under discretionary declassification.	46.	11800

PART H: Internal Agency Oversight

47. Enter the number of self-inspections conducted by your agency, covering any aspect of the security classification program, during the reporting period.	47.	892
48. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified. (E.O. 13526, section 1.8)	48.	5
49. Enter the number of challenges where the classification status was fully affirmed.	49.	5
50. Enter the number of challenges where the classification status was overturned in whole or in part.	50.	0
51. Enter the number of security classification guides created by your agency and currently in use.	51.	55

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

PART C: The increase in original classification decisions is a result of the Fundamental Classification Guidance Review. The OCAs resigned the guides that were revised and reissued.

Part D: An overall 37% increase in the reporting of derivative classification decisions. Specifically, Top Secret derivative decisions increased 63% (18,924 reported in 2011), while Secret derivative classification decisions increased by 58% (61,095 reported in 2011). As previously reported in FY 2011, this was attributed to better tracking of decisions being made electronically on DHS classified networks.

PART E : Immigration and Customs Enforcement (ICE) incorrectly reported 15 requested carry overs on their 2011 SF 311. It was discovered that the requests were all Freedom of Information Act (FOIA) and should not have been included in the 2011 reporting period.

PART F: Both the U.S. Secret Service (USSS) and the Federal Emergency Management Administration (FEMA) were responsible for the bulk of data concerning the denying of declassification requests. The USSS denied requests for declassification due to information concerning current protection efforts of the President, while FEMA denied declassification based on current Continuity of Operations (COOP) planning efforts.

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information		
1. Enter the Fiscal Year that this report covers.	1. 2013	
2. Identify the Department, Independent agency, or Establishment that is covered by this report.	2. Department of Homeland Security	
3. Enter the name, title, address, phone, fax, and e-mail address of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. (b)(6) Chief Security Officer (b)(6) (Office) 501 7th Street S.W., Suite 5003-35 (b)(6) (Fax) Washington, D.C. 20528 Email: (b)(6)	
4. Enter the name, title, phone, fax, and email address for the point-of-contact responsible for answering questions about this report.	4. (b)(6) Chief, Admnl-Intrnce Security Division (b)(6) (Office) 501 7th Street S.W., Suite 5070, Washington DC 20528 (b)(6) (Fax)	
PART B: Officials with Original Classification Authority		
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET .	5. 20	
6. Enter the number of agency officials whose highest level of original classification authority is SECRET .	6. 5	
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL .	7. 0	
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8. 25	
PART C: Original Classification Decisions		
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)		
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	9. 2	
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	10. 1	
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10).	11. 3	
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	12. 1	
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	13. 2	
14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)	14. 3	
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less .	15. 0	
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	16. 0	
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)	17. 0	
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	18. 6	
PART D: Derivative Classification Decisions		
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)		
19. Enter the number of derivative TOP SECRET classification decisions made during the reporting period.	19. 8,960	
20. Enter the number of derivative SECRET classification decisions made during the reporting period.	20. 47,598	
21. Enter the number of derivative CONFIDENTIAL classification decisions made during the reporting period.	21. 14,488	
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22. 71,046	

PART E: Mandatory Declassification Review Requests and Appeals

A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request.

Report only requests for your agency in which your agency is responsible for the final decision.

23. Enter the number of requests received during the reporting period.	23.	10
24. Enter the number of requests closed during the reporting period.	24.	10
25. Enter the number of requests that have been unresolved for over one year.	25.	0
26. Enter the average number of days to resolve each request.	26.	60
27. Enter the number of appeals received during the reporting period.	27.	0
28. Enter the number of appeals closed during the reporting period.	28.	0
29. Enter the number of appeals that have been unresolved for over one year.	29.	0
30. Enter the average number of days to resolve each appeal.	30.	0
31. Enter the number of referred requests received during the reporting period.	31.	3
32. Enter the number of referred appeals received during the reporting period.	32.	0

PART F: Mandatory Declassification Review Decisions in Pages

33. Enter the number of requested pages that were declassified in full .	33.	0
34. Enter the number of requested pages that were declassified in part .	34.	80
35. Enter the number of requested pages that were denied declassification.	35.	10
36. Total number of requested pages acted on. (Sum of blocks 33, 34, & 35)	36.	90
37. Enter the number of appealed pages that were declassified in full .	37.	0
38. Enter the number of appealed pages that were declassified in part .	38.	0
39. Enter the number of appealed pages that were denied declassification.	39.	8
40. Total number of appealed pages acted on. (Sum of blocks 37, 38, & 39)	40.	8

PART G: Automatic, Systematic, and Discretionary Declassification Reviews

41. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	41.	5,793
42. Enter the number of pages declassified under automatic declassification .	42.	4,686
43. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	43.	0
44. Enter the number of pages declassified under systematic declassification .	44.	0
45. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	45.	0
46. Enter the number of pages declassified under discretionary declassification .	46.	0

PART H: Internal Agency Oversight

47. Enter the number of self-inspections conducted by your agency, covering any aspect of the security classification program, during the reporting period.	47.	1,525
48. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified . (E.O. 13526, section 1.8)	48.	2
49. Enter the number of challenges where the classification status was fully affirmed .	49.	1
50. Enter the number of challenges where the classification status was overturned in whole or in part .	50.	0
51. Enter the number of security classification guides created by your agency and currently in use .	51.	58

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide us an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Part C. Original Classification Decisions made during the year were incorporated into Security Classification Guides (SCGs) and/or will be incorporated in SCGs in the coming Fiscal Year (FY).

Part D. During a Security Compliance Review it was discovered that one component, which had been heavily responsible for the increase in FY 2012, had made multiple errors on their SF 311 submission. Their reporting for the current year is more in-line with what was reported in FY 2011.

For ISOO Use Only

ISOO Analyst Name: _____

Date of QC: _____

Analyst Initials: _____

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information		
1. Enter the Fiscal Year that this report covers.	1. 2014	
2. Identify the Department, Independent agency, or Establishment that is covered by this report.	2. Department of Homeland Security	
3. Enter the name, title, address, phone, fax, and e-mail address of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. (b)(6) Chief Security Officer 541 7th Street S.W., Suite 5003-55 Washington, DC 20528 (b)(6) (b)(6) (b)(6)	
4. Enter the name, title, phone, fax, and email address for the point-of-contact responsible for answering questions about this report.	4. (b)(6) Chief Administrative Security Director 501 7th Street S.W., Suite 5076, Washington DC 20528 (b)(6) (b)(6) Fax	
PART B: Officials with Original Classification Authority		
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET .	5. 19	
6. Enter the number of agency officials whose highest level of original classification authority is SECRET .	6. 7	
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL .	7. 0	
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, & 7)	8. 26	
PART C: Original Classification Decisions		
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)		
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	9. 0	
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	10. 2	
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 & 10).	11. 2	
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	12. 1	
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	13. 4	
14. Total number of SECRET original classification decisions. (Sum of blocks 12 & 13)	14. 5	
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less .	15. 0	
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	16. 0	
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 & 16)	17. 0	
18. Total number of original classification decisions. (Sum of blocks 11, 14, & 17)	18. 7	
PART D: Derivative Classification Decisions		
Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)		
19. Enter the number of derivative TOP SECRET classification decisions made during the reporting period.	19. 36,336	
20. Enter the number of derivative SECRET classification decisions made during the reporting period.	20. 78,696	
21. Enter the number of derivative CONFIDENTIAL classification decisions made during the reporting period.	21. 11,277	
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, & 21)	22. 126,309	

PART E: Mandatory Declassification Review Requests and Appeals

A "Request" is an individual initial review request or appeal, regardless of the number of documents or pages to be reviewed as part of the request.

Report only requests for your agency in which your agency is responsible for the final decision.

23. Enter the number of requests received during the reporting period.	23.	0
24. Enter the number of requests closed during the reporting period.	24.	0
25. Enter the number of requests that have been unresolved for over one year.	25.	0
26. Enter the average number of days to resolve each request.	26.	0
27. Enter the number of appeals received during the reporting period.	27.	0
28. Enter the number of appeals closed during the reporting period.	28.	0
29. Enter the number of appeals that have been unresolved for over one year.	29.	0
30. Enter the average number of days to resolve each appeal.	30.	0
31. Enter the number of referred requests received during the reporting period.	31.	0
32. Enter the number of referred appeals received during the reporting period.	32.	0

PART F: Mandatory Declassification Review Decisions in Pages

33. Enter the number of requested pages that were declassified in full .	33.	0
34. Enter the number of requested pages that were declassified in part .	34.	0
35. Enter the number of requested pages that were denied declassification.	35.	0
36. Total number of requested pages acted on. (Sum of blocks 33, 34, & 35)	36.	0
37. Enter the number of appealed pages that were declassified in full .	37.	0
38. Enter the number of appealed pages that were declassified in part .	38.	0
39. Enter the number of appealed pages that were denied declassification.	39.	0
40. Total number of appealed pages acted on. (Sum of blocks 37, 38, & 39)	40.	0

PART G: Automatic, Systematic, and Discretionary Declassification Reviews

41. Enter the number of pages reviewed that were subject to automatic declassification under section 3.3 of E.O. 13526.	41.	9,394
42. Enter the number of pages declassified under automatic declassification .	42.	8,673
43. Enter the number of pages reviewed that were subject to systematic declassification under section 3.4 of E.O. 13526.	43.	0
44. Enter the number of pages declassified under systematic declassification .	44.	0
45. Enter the number of pages reviewed that were subject to discretionary declassification under section 3.1 of E.O. 13526.	45.	0
46. Enter the number of pages declassified under discretionary declassification .	46.	0

PART H: Internal Agency Oversight

47. Enter the number of self-inspections conducted by your agency, covering any aspect of the security classification program, during the reporting period.	47.	681
48. Enter the number of challenges processed by your agency to the classification of information believed to be improperly classified or unclassified . (E.O. 13526, section 1.8)	48.	0
49. Enter the number of challenges where the classification status was fully affirmed .	49.	0
50. Enter the number of challenges where the classification status was overturned in whole or in part .	50.	0
51. Enter the number of security classification guides created by your agency and currently in use .	51.	65

PART I: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide us an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.

Part C. Original Classification Decisions made during the year were incorporated into Security Classification Guides (SCGs) and/or will be incorporated in SCGs in the coming Fiscal Year (FY).

Part D. Counting of derivative decisions was changed to a 12 week sampling period for FY2014 instead of counting during the entire year. Components reported more consistent counting this year as it was easier to keep derivative classifiers engaged over a shorter period. DHS intends to continue using the same method for FY2015.

For ISOO Use Only

ISOO Analyst Name: _____

Date of QC: _____

Analyst Initials: _____



**Homeland
Security**

(b)(6)

Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, DC 20408

Rc: Report on Cost Estimates for Security Classification Activities

Dear (b)(6)

Pursuant to your memorandum of December 4, 2015, Subject: Report on Cost Estimates for Security Classification Activities for FY 2015, I am pleased to submit the Department of Homeland Security (DHS) report on cost estimates for security classification activities within DHS. This report is based on input received from the Department's components, as well as DHS Headquarters.

If you have any questions, please feel free to contact me at (b)(6) Chief,
Administrative Security Division, at (b)(6)

Sincerely,

(b)(6)

Acting Chief Security Officer

Enclosure:

SF 716, Agency Security Classification Cost Estimates
SF 716 Continuation Narrative Summary Document

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: Department of Homeland Security

Fiscal Year: 2015

Point of Contact:

(Name and phone number) (b)(6)

Reporting Categories

Please use actual dollar figures.

1. Personnel Security

\$100,388,780.73

(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)

2. Physical Security

\$89,202,463.73

(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)

3. Classification Management

\$15,295,130.33

(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

4. Declassification

\$762,096.24

(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)

5. Protection and Maintenance for Classified Information Systems

\$17,272,647.99

(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

6. Operations Security and Technical Surveillance Countermeasures

\$4,451,798.00

(include personnel and operating expenses associated with OPSEC and TSCM)

7. Professional Education, Training, and Awareness

\$4,595,613.87

(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)

8. Security Management, Oversight, and Planning

\$32,126,332.08

(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))

9. Unique Items

\$2,243,259.80

(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)

TOTAL

\$266,338,122.77

(sum of items 1-9)

Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.

(See attached SF716 Continuation Summary Sheet for further details)

Instructions for Completing Form

I. General: The data reported will be Government cost estimates only. The estimates of resource costs should be reported, in the aggregate, for the following categories: (1) Personnel Security; (2) Physical Security; (3) Classification Management; (4) Declassification; (5) Protection and Maintenance for Classified Information Systems; (6) Operations Security and Technical Surveillance Countermeasures; (7) Professional Education, Training, and Awareness; (8) Security Management, Oversight, and Planning; and (9) Unique Items. In reporting cost estimates associated with the security and management of classified information, please exclude all costs related to broad areas of assets protection (i.e., protection of property and personnel not specifically related to classified information). Counterintelligence* resources should also not be included in this data collection. If 51% or more of a resource is devoted to a classification-related activity, it should be included in this estimate. For those resources used for classification-related activities on a part-time basis, the total time devoted to these activities over a year must be at least 51% in order to be included in this estimate. Even though we no longer ask for the number of FTEs, the cost of personnel associated with the security of classified information should be included in the overall cost estimate for each category.

II. Definitions of data to be reported: The primary categories are defined below along with related functional areas to be considered for inclusion. **Report only those cost estimates associated with classification-related activities** (programs that affect the security of classified information).

1. Personnel Security: A series of interlocking and mutually supporting program elements that initially establish a Government or contractor employee's eligibility, and ensure suitability for the continued access to classified information.

Clearance Program: Personnel and activities to determine eligibility and suitability for initial or continuing access to classified information or activities.

Initial Investigations: Completing and reviewing Personnel Security Questionnaire, initial screening, filing data in Central Personnel Database, forwarding to appropriate investigative authority, and the investigation itself.

National Agency Check: Include only when used for basis for granting a clearance.

Adjudication: Screening and analysis of personnel security cases for determining eligibility for classified access authorizations and appeals process.

Reinvestigations: Periodic recurring investigations of Government and contractor personnel.

Polygraph: Substantive examinations in security screening process.

2. Physical Security: That portion of security concerned with physical measures designed to safeguard and protect classified facilities and information, domestic or foreign.

Physical Security Equipment: Any item, device, or system that is used primarily for the protection of classified information and installations.

Protective Forces: All personnel and operating costs associated with protective forces used to safeguard classified information or installations, to include but not limited to salaries, overtime, benefits, materials and supplies, equipment and facilities, vehicles, aircraft, training, communications equipment, and management.

Intrusion Detection and Assessment: Alarms, sensors, protective lighting, and their control systems; and the assessment of the reliability, accuracy, timeliness, and effectiveness of those systems used to safeguard classified information or installations.

Barrier/Controls: Walls, fences, barricades, or other fabricated or natural impediments to restrict, limit, delay, or deny entry into a classified installation.

* Counterintelligence means information gathered and activities conducted to protect against espionage, other intelligence activities, sabotage, or assassinations conducted for or on behalf of foreign powers, organizations or persons or international terrorist activities, but not including personnel, physical, document, or communications security programs. (48 CFR 970.0404-1)

Instructions for completing form, continued

Vital Components and Tamper-Safe Monitoring: Personnel and operating activities associated with the monitoring of tamper indicating devices for containers, doors, fences, etc., which reveal violations of containment integrity and posting and monitoring of anti-tamper warnings or signs.

Access Control/Badging: Personnel and hardware such as badging systems, card readers, turnstiles, metal detectors, cipher locks, CCTV, and other access control mechanisms to ensure that only authorized persons are allowed to enter or leave a classified facility.

Visitor Control: Personnel and activities associated with processing visitors for access to facilities holding classified information.

3. Classification Management: The system of administrative policies and procedures for identifying, controlling, and protecting from unauthorized disclosure, classified information, the protection of which is authorized by Executive Order or Statute. Classification management encompasses those resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information.

4. Declassification: The authorized change in the status of information from classified information to unclassified information. It encompasses those resources used to identify and process information subject to the automatic, systematic, or mandatory review programs authorized by Executive Order or Statute.

5. Protection and Maintenance for Classified Information Systems: A classified information system is a set of information resources organized for the collection, storage, processing, maintenance, use, sharing, dissemination, disposition, display, or transmission of classified information. Security of these systems involves the protection of information systems against unauthorized access to or modification of information, whether in storage, processing, or transit, and against the denial of service to authorized users, including those measures necessary to detect, document and counter such threats. This includes **TEMPEST** (short name referring to investigation, study, and control of compromising emanations from information systems equipment) and **Communications Security (COMSEC)** (measures and controls taken to deny unauthorized individuals information derived from telecommunications and to ensure the authenticity of such telecommunications. Communications security includes cryptosecurity, transmission security, emission security, and physical security of COMSEC material).

6. Operations Security (OPSEC) and Technical Surveillance Countermeasures (TSCM):

Operations Security (OPSEC): Systematic and proven process by which potential adversaries can be denied information about capabilities and intentions by identifying, controlling, and protecting generally unclassified evidence of the planning and execution of sensitive activities. The process involves five steps: identification of critical information, analysis of threats, analysis of vulnerabilities, assessment of risks, and application of appropriate countermeasures.

Technical Surveillance Countermeasures (TSCM): Personnel and operating expenses associated with the development, training, and application of technical security countermeasures such as non-destructive and destructive searches, electromagnetic energy searches, and telephone system searches.

7. Professional Education, Training, and Awareness: The establishment, maintenance, direction, support, and assessment of an information security training and awareness program; the certification and approval of the training program; the development, management, and maintenance of training records; the training of personnel to perform tasks associated with their duties; and qualification and/or certification of personnel before assignment of security responsibilities related to classified information.

8. Security Management, Oversight, and Planning: Development and implementation of plans, procedures, and actions to accomplish policy requirements, develop budget and resource requirements, oversee organizational activities, and respond to management requests related to classified information.

Research, Test, and Evaluation: The development, management, and oversight of an acceptance and validation testing and evaluation program, corrective action reports and related documentation that addresses safeguards and security elements. The examination and testing of physical security systems (construction, facilities, and equipment) to ensure their effectiveness and operability and compliance with applicable directives.

Instructions for completing form, continued

Surveys, Reviews, Accreditation, and Assessments: Personnel and activities associated with surveys, reviews, accreditations, and assessments to determine the status of the security program and to evaluate its effectiveness; development and management of a facility survey and approval program; facility pre-survey; and information technology system accreditation.

Special Access Programs (SAP): Programs established for a specific class of classified information that impose safeguarding and access requirements that exceed those normally required for information at the same classification level. Unless specifically authorized by the President, only the Secretaries of State, Defense, Energy, and the Director of National Intelligence may create an SAP. Sensitive Compartmented Information (SCI) programs are not included as SAPs for the purpose of these estimates; rather SCI security costs are integrated and estimated throughout all categories as appropriate. Do not include costs here that have been reported under the other primary categories.

Security and Investigative Matters: The investigation of security incidents, infractions, and violations.

Industrial Security (Non-Contractor Costs): Those measures and resources directly identifiable as Government activities performed for the protection of classified information to which contractors, subcontractors, vendors, or suppliers have access or possession. Examples of such activities are industrial security reviews, surveys, and the granting of facility clearances, and National Industrial Security Program management and administration.

Foreign Ownership, Control, or Influence (FOCI): The development and management of a foreign ownership, control, or influence program; evaluation of FOCI submissions; the administration and monitoring of FOCI information and development of FOCI notifications.

9. Unique Items: Those department/agency-specific activities that are not reported in any of the primary categories but are nonetheless significant and need to be included, should be noted in this category. Any unique item must include a narrative on why it should be included and how the figures were developed.

III. How to complete the security costs estimates form. The form (page 1) should include estimates of resource costs in the aggregate for each of the nine categories. The cost estimates reported should **not** include costs associated with the broader area of assets protection.

1. Name of Department/Agency: Self-explanatory.

2. Reporting Categories: List cost estimates in dollar amounts. The cost of personnel associated with the security of classified information should be included in the overall cost estimate for each category. If there are no cost estimates to be reported for a particular category, indicate with a "0" in the appropriate block.

3. Totals: The totals for blocks 1-9 will automatically be placed in the appropriate block.

4. Narrative: In the narrative portion of the form, or in a separate attachment, provide a brief explanation of how cost estimates were determined. If there is a significant difference between the total figures for each fiscal year, explain the differences. Any figure reported within the Unique Items category should be clearly explained in the narrative portion.

FY 2015 (SF 716) Annual Cost Estimate Narrative (CONTINUATION)

FY15 spending was steady showing approximately a \$2.4 million dollar decrease from FY14. While most DHS components showed a decrease in Personnel Security expenditures due to the OPM PII breach there were a few components (USCG, CBP, USCIS, NPPD) that showed an increase in PERSEC spending.

USCIS PERSEC cost more than doubled due to increase prices on investigation products, the suspension of BI's to OPM between August 2014 and October 2014. PERSEC division accumulated a backlog of over 175 SSBI and SSBI-PR level investigations that had to be moved forward to 2015. USCIS hired a large number of both Immigration Service Officers and Asylum Officers which increased the number of investigations by 220 SBI's. Contributing factors included the new Potomac Service Center as well as additional hiring requirements for Asylum Offices and the Vermont Service Center. There was a significant number of re-investigations due in FY15 resulting in 300 more SBIs than in FY14. Finally, due to agency-wide backfilling of Deferred Action for Childhood Arrivals (DACA) positions, there were 45 new DACA selections requiring SBI's.

ICE: PERSEC - Fiscal Year (FY) 2015 costs decreased significantly because the investigations completed for initial and re-investigations for national security positions only comprised 27.27 percent of the overall workload for ICE. This is compared to FY 2014 where 63 percent of all of the workload was for national security positions. These figures constitute ICE service wide funds requested by the National Emergency Management Division annually. These funds go to paying the rent (\$365,000) and Classified Information Systems (\$92,484) at ICE Headquarters continuity facility (also known as the Alternate Operating Facility). The rent funding is paid to a Department of Defense activity that runs the facility and includes protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control, etc.

NPPD Physical Security had a significant increase due to the Guard Services contract. There was no expenditure in FY14 as the contract was in a re-compete status and awarded at the end of FY13.

AGENCY SECURITY CLASSIFICATION MANAGEMENT PROGRAM DATA

(Submissions must be unclassified and reach the Information Security Oversight Office (ISOO) no later than November 15 following the reporting period.)

PART A: Identifying Information		
1. Enter the Fiscal Year that this report covers.	1. 2016	
2. Identify the Department, Independent agency, or Establishment that is covered by this report.	2. DHS: Department of Homeland Security	
3. Enter the name and title of the Senior Agency Official (as defined in E.O. 13526, section 5.4(d)) responsible for this report.	3. (b)(6) Chief Security Officer	
4. Point-of-contact responsible for answering questions about this report:		
4a. Name:	4a. (b)(6)	
4b. Title:	4b. Chief, Administration Security Division	
4c. Email address:	4c. (b)(6)	
4d. Phone number:	4d. (b)(6)	
PART B: Officials with Original Classification Authority		
5. Enter the number of agency officials whose highest level of original classification authority is TOP SECRET .	5.	19
6. Enter the number of agency officials whose highest level of original classification authority is SECRET .	6.	7
7. Enter the number of agency officials whose highest level of original classification authority is CONFIDENTIAL .	7.	
8. Total number of officials with original classification authority. (Sum of blocks 5, 6, and 7)	8.	26
PART C: Original Classification Decisions		
Original classification is an initial determination that the information to be classified has not been previously classified by any other authority. It also meets the following conditions: (1) it was classified by an original classification authority; (2) the information is owned by or for, or is under the control of the United States Government; (3) it falls into at least one of the categories from section 1.4 of E.O. 13526; and (4) unauthorized disclosure could reasonably be expected to result in damage to the national security. (Provide information on all original classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)		
9. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	9.	0
10. Enter the number of original TOP SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	10.	5
11. Total number of TOP SECRET original classification decisions. (Sum of blocks 9 and 10)	11.	5
12. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions of 10 years or less .	12.	0
13. Enter the number of original SECRET classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	13.	8
14. Total number of SECRET original classification decisions. (Sum of blocks 12 and 13)	14.	8
15. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions of 10 years or less .	15.	0
16. Enter the number of original CONFIDENTIAL classification decisions made during the reporting period with declassification instructions ranging from over 10 years to 25 years .	16.	0
17. Total number of CONFIDENTIAL original classification decisions. (Sum of blocks 15 and 16)	17.	0
18. Total number of original classification decisions. (Sum of blocks 11, 14, and 17)	18.	13

PART D: Derivative Classification Decisions

Derivative classification is the incorporating, paraphrasing, restating, or generating in new form information that is already classified. This includes classification based on classification guides or other source documents. (Provide information on all classification decisions, regardless of the media, including those documented and disseminated via email. Do not count reproductions or copies.)

19. Enter the number of derivative TOP SECRET classification decisions made during the reporting period.	19.	4,232
20. Enter the number of derivative SECRET classification decisions made during the reporting period.	20.	16,219
21. Enter the number of derivative CONFIDENTIAL classification decisions made during the reporting period.	21.	3,033
22. Total number of derivative classification decisions. (Sum of blocks 19, 20, and 21)	22.	23,484

PART E: Mandatory Declassification Review Requests

A "Request" is an individual initial review request, regardless of the number of documents or pages to be reviewed as part of the request.

Report only requests for your agency in which your agency is responsible for the final decision.

23. Enter the number of REQUESTS received during the reporting period.	23.	
24. Enter the number of REQUESTS closed during the reporting period.	24.	
25. Of the REQUESTS entered into block 24, enter the number of pages that were:	25.	
a. Declassified in full.	25a.	
b. Declassified in part.	25b.	
c. Denied declassification.	25c.	
d. Total number of requested pages acted on. (Sum of blocks a, b, and c)	25d.	0
26. Enter the number of REQUESTS that have been unresolved for over one year.	26.	
27. Enter the AVERAGE NUMBER OF DAYS to resolve each request.	27.	
28. Enter the number of REFERRED REQUESTS received during the reporting period. (Number of requests referred to your agency from another agency)	28.	

PART F: Mandatory Declassification Review Appeals

An "Appeal" is an individual request for appeal, regardless of the number of documents or pages to be reviewed as part of the request.

Report only appeals for your agency in which your agency is responsible for the final decision.

29. Enter the number of APPEALS received during the reporting period.	29.	
30. Enter the number of APPEALS closed during the reporting period.	30.	
31. Of the APPEALS entered into block 30, enter the number of pages that were:	31.	
a. Declassified in full.	31a.	
b. Declassified in part.	31b.	
c. Denied declassification.	31c.	
d. Total number of appealed pages acted on. (Sum of blocks a, b, and c)	31d.	0
32. Enter the number of APPEALS that have been unresolved for over one year.	32.	
33. Enter the AVERAGE NUMBER OF DAYS to resolve each appeal.	33.	
34. Enter the number of REFERRED APPEALS received during the reporting period. (Number of appeals referred to your agency from another agency)	34.	

PART G: Automatic, Systematic, and Discretionary Declassification Reviews		
35. Enter the number of pages REVIEWED that were subject to automatic declassification under section 3.3 of E.O. 13526.	35.	17,956
36. Enter the number of pages DECLASSIFIED under automatic declassification .	36.	16,196
37. Enter the number of pages REVIEWED that were subject to systematic declassification under section 3.4 of E.O. 13526.	37.	0
38. Enter the number of pages DECLASSIFIED under systematic declassification .	38.	0
39. Enter the number of pages REVIEWED that were subject to discretionary declassification under section 3.1 of E.O. 13526.	39.	0
40. Enter the number of pages DECLASSIFIED under discretionary declassification .	40.	0
PART H: Internal Agency Oversight		
41. Enter the number of CHALLENGES processed by your agency to the classification of information believed to be improperly classified or unclassified . (E.O. 13526, section 1.8)	41.	0
42. Enter the number of CHALLENGES where the classification status was fully affirmed .	42.	0
43. Enter the number of CHALLENGES where the classification status was overturned in whole or in part .	43.	0
PART I: Intelligence Community (IC) Information		
This section applies only to IC agencies and IC components of other agencies who <i>originally apply</i> the ORCON and ORCON-USGOV dissemination control. This information, along with ISOO's annual inspection reports will be used to inform the annual report to the DNI on the use of classification markings.		
Organization's classified disseminated analytic product (as defined in ICD 206 Sourcing Requirements for Disseminated Analytic Product) originally marked ORCON.		
44. Enter PERCENTAGE of your organization's classified disseminated analytic products originally marked ORCON .	44.	0.00%
45. Enter TOTAL NUMBER of your organization's classified disseminated analytic products originally marked ORCON .	45.	
46. Enter the NUMBER OF REQUESTS approved for expanded dissemination of ORCON in full .	46.	
47. Enter the NUMBER OF REQUESTS approved for expanded dissemination of ORCON in part . Provide explanation for partial denial in part J below.	47.	
48. Enter the number of ORCON expanded dissemination requests DENIED . Provide explanation for denial in part J below.	48.	
Organization's classified disseminated analytic product (as defined in ICD 206 Sourcing Requirements for Disseminated Analytic Product) originally marked ORCON-USGOV.		
49. Enter PERCENTAGE of your organization's analytic products originally marked ORCON-USGOV .	49.	0.00%
50. Enter TOTAL NUMBER of your organization's analytic products originally marked ORCON-USGOV .	50.	
51. Provide TOTAL NUMBER of reports of potential misapplication of the ORCON marking. Provide background information on each occurrence in Part J below.	51.	
52. Has your organization been NEGATIVELY IMPACTED by information being marked with ORCON or ORCON-USGOV control marking? If yes, provide explanation in Part J below.	52.	N/A

PART J: Explanatory Comments

Use this space to elaborate on any section of this form. If more space is needed, provide as an attachment to this form. Provide explanations for any significant changes in trends/numbers from the previous year's report.